

Memorandum

To: CHAIR AND COMMISSIONERS

Meeting Date: August 17-18, 2005

Reference No.: 2.6c.(7)
Action Item

From: CINDY McKIM
Chief Financial Officer

Prepared by: Norma Ortega
Division Chief
Budgets

Ref: **FINANCIAL DE-ALLOCATION AMENDMENTS FOR SEVENTEEN STATE
PROPOSITION 116 INTERCITY RAIL PROGRAM PROJECTS
RESOLUTION BFA-05-08, AMENDING RESOLUTIONS BFP-91-05, BFP-91-08, BFP-96-
04, BFP-01-03, BFP-91-16, BFP-91-19, BFP-94-03, BFP-95-38, BFP-96-13, BFP-98-04, BFP-
98-26, BFP-99-13, BFA-91-05, BFA-95-07, BFA-99-04, MBFP-96-01, AND MBFP-00-01**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following resolution, de-allocating a total of \$4,010,800 from seventeen (17) State Proposition 116 Intercity Rail Program projects, in accordance with the attached Vote List.

FINANCIAL RESOLUTION:

Resolved That:

- 1.1 WHEREAS, the Commission passed the following resolutions which approved Proposition 116 program allocations, and the projects were completed with the identified savings:

Resolution <u>Alloc Date</u>	<u>Applicant / Project</u>	Original Allocation <u>Amount</u>	Final Project <u>Cost</u>	Savings/ Amount to <u>De-allocate</u>
BFP-91-05 10/18/1991	Department of Transportation Railcars Acquisition Project Management	\$3,700,000	\$3,699,144	\$856
BFP-91-08 11/14/1991	Department of Transportation Capitol Corridor Track and Signal Improvement	\$19,888,700	\$19,827,101	\$61,599

<u>Resolution Alloc Date</u>	<u>Applicant / Project</u>	<u>Original Allocation Amount</u>	<u>Final Project Cost</u>	<u>Savings/ Amount to De-allocate</u>
BFP-96-04 07/11/1996	Department of Transportation Moorpark-Goleta Track and Signal Improvement	\$7,444,890	\$7,444,890	\$13,110
BFP-01-03 04/03/2002	Department of Transportation Stockton Intercity Rail Station	\$7,200,000	\$5,870,000	\$1,330,000
BFP-91-16 03/20/1992	Department of Transportation Stockton Track Connection – Northeast Quadrant	\$1,682,130	\$1,583,042	\$99,088
BFP-91-19 04/10/1992	Department of Transportation Inter-Urban Rail Station – Capital Outlay	\$3,816,300	\$3,474,863	\$341,437
BFP-94-03 07/06/1994	Department of Transportation Oakland Jack London Station	\$1,800,000	\$1,785,867	\$14,133
BFP-95-38 01/17/1996	Department of Transportation Statewide ADA Intercity Rail	\$400,000	\$205,850	\$194,150
BFP-96-13 10/16/1996	Department of Transportation Goleta Station	\$800,000	\$777,235	\$22,765
BFP-98-04 07/15/1998	Department of Transportation Stockton/Sacramento Track Improvements	\$1,108,000	\$199,840	\$908,160
BFP-98-26 05/05/1999	Department of Transportation Camarillo Intercity Rail Station Improvements	\$1,101,593	\$964,596	\$136,997
BFP-99-13 03/30/2000	Department of Transportation Merced Station	\$205,000	\$204,588	\$412
BFA-91-05 04/10/1992	Department of Transportation Placer/Santa Clara Intercity Rail Car Acquisition	\$18,400,000	\$18,373,289	\$26,711
BFA-95-07 11/28/1995	Department of Transportation Purchase 20 Railcars	\$5,400,000	\$5,314,087	\$85,913

Original	Final	Savings/
----------	-------	----------

<u>Resolution</u>	<u>Applicant / Project</u>	<u>Allocation Amount</u>	<u>Project Cost</u>	<u>Amount to De-allocate</u>
BFA-99-04 02/23/2000	Department of Transportation Stockton BNSF Track\Orwood Drawbridge	\$9,875,000	\$9,871,544	\$3,456
MBFP-96-01 09/19/1996	Department of Transportation Capital Corridor Station Platforms	\$1,540,000	\$1,169,239	\$370,761
MBFP-00-01 01/18/2001	Department of Transportation False Bay Passing Track	\$3,710,000	\$3,308,748	\$401,252
De-allocation Amount				\$4,010,800

- 2.1 NOW, THEREFORE BE IT RESOLVED, that the Commission hereby adjusts the approved funding for the projects listed under 1.1 to the amounts listed under Final Project Cost and de-allocates the savings identified for future uses to be determined; and
- 2.2 BE IT FURTHER RESOLVED, that resolutions BFP-91-05, BFP-91-08, BFP-96-04, BFP-01-03, BFP-91-16, BFP-91-19, BFP-94-03, BFP-91-16, BFP-91-19, BFP-94-03, BFP-95-38, BFP-96-13, BFP-98-04, BFP-98-26, BFP-99-13, BFA-91-05, BFA-95-07, BFA-99-04, MBFP-96-01, and MBFP-00-01 are hereby amended.

Attachment

2.6 Mass Transportation Financial Matters

Project # Amended Resolution Revised Allocation Recipient RTPA/CTC District-County Legislative District	Project Title Project Description Funding Summary	Program / Year PA # PUC Code PPNO Prog Amount	Fund Program Codes Alloc Amount
2.6c.(7) Allocation Amendment – State Proposition 116 Rail Program Projects – Various PUCs		Resolution BFA-05-08	
1 Amending Resolution BFP-91-05 \$3,699,144 Department of Transportation 75-Multiple Senate: N/A Assembly: N/A	Railcars Acquisition Project Management Amend BFP-91-05 to de-allocate \$856 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFP-91-05 <u>ORIGINAL</u> \$3,700,000 <u>REVISION</u> (\$856) <u>AMENDED</u> \$3,699,144	P116R/91-92 PA-92-04 PA-05- 99649 75-3003 \$3,699,144	P116 30.20.020.000 \$3,699,144
2 Amending Resolution BFP-91-08 \$19,827,101 Department of Transportation 75-Multiple Senate: 1,6,5,7,9-11 Assembly: 4,5,8,9,11, 18, 20,22	Capitol Corridor Track and Signal Improvement Amend BFP-91-08 to de-allocate \$61,599 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFP-91-08 <u>ORIGINAL</u> \$19,888,700 <u>REVISION</u> (\$61,599) <u>AMENDED</u> \$19,827,101	P116R/91-92 PA-91-03 PA-05- 99622(c) 75-9051 \$19,827,101	P116 30.20.020.000 \$19,827,101
3 Amending Resolution BFP-96-04 \$7,444,890 Department of Transportation 75-Multiple Senate: 19 Assembly: 35,37	Moorpark-Goleta Track & Signal Improvements Amend BFP-96-04 to de-allocate \$13,110 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFP-96-04 <u>ORIGINAL</u> \$7,444,890 <u>REVISION</u> (\$13,110) <u>AMENDED</u> \$7,444,890	P116R/91-92 PA-97-05 PA-05- 99623(a)(2) 75-9116B \$7,444,890	P116 30.20.020.000 \$7,444,890
4 Amending Resolution BFP-01-03 \$5,870,000 Department of Transportation 75-San Joaquin Senate: 5 Assembly: 17	Stockton Intercity Rail Station Amend BFP-01-03 to de-allocate \$1,330,000 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFP-01-03 <u>ORIGINAL</u> \$7,200,000 <u>REVISION</u> (\$1,330,000) <u>AMENDED</u> \$5,870,000	P116R/01-02 PA-01-08 PA-05- 99622(a) 75-9085 \$5,870,000	P116 30.20.020.000 \$5,870,000
5 Amending Resolution BFP-91-16 \$1,583,042 Department of Transportation 10-SJ Senate: 5 Assembly: 26	Stockton Track Connection – Northeast Quadrant Amend BFP-91-16 to de-allocate \$99,088 in cost savings from a cancelled rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFP-91-16 <u>ORIGINAL</u> \$1,682,130 <u>REVISION</u> (\$99,088) <u>AMENDED</u> \$1,583,042	P116R/91-92 PA-92-02 PA-05- 99622(a) 75-6006A \$1,583,042	P116 30.20.020.000 \$1,583,042
6 Amending Resolution BFP-91-19 \$3,474,863 Department of Transportation 75-Multiple Senate: 6 Assembly: 9	Inter-Urban Rail Station – Capital Outlay Amend BFP-91-19 to de-allocate \$341,437 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFP-91-19 <u>ORIGINAL</u> \$3,816,300 <u>REVISION</u> (\$341,437) <u>AMENDED</u> \$3,474,863	P116R/91-92 PA-91-03 PA-05- 99622(c) 75-9051B \$3,474,863	P116 30.20.020.000 \$3,474,863
7 Amending Resolution BFP-94-03 \$1,785,867 Department of Transportation 75-Alameda Senate: 10 Assembly: 20	Oakland Jack London Station Amend BFP-94-03 to de-allocate \$14,133 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFP-94-03 <u>ORIGINAL</u> \$1,800,000 <u>REVISION</u> (\$14,133) <u>AMENDED</u> \$1,785,867	P116R/94-95 PA-94-46 PA-05- 99622(c) 75-B402A \$1,785,867	P116 30.20.020.000 \$1,785,867

2.6 Mass Transportation Financial Matters

Project # Amended Resolution Revised Allocation Recipient RTPA/CTC District-County Legislative District	Project Title Project Description Funding Summary	Program / Year PA # PUC Code PPNO Prog Amount	Fund Program Codes Alloc Amount																				
2.6c.(7) Allocation Amendment – State Proposition 116 Rail Program Projects – Various PUCs		Resolution BFA-05-08																					
8 Amending Resolution BFP-95-38 \$205,850 Department of Transportation 75-Various Senate: 6, 5, 12, 14, 16, 18 Assembly: 4, 5, 15, 17, 26,25,	Statewide ADA Intercity Rail Amend BFP-95-38 to de-allocate \$97,075 in cost savings from a completed rail project on the San Joaquin and the Capitol Corridors. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <table><tr><td><u>ALLOCATION</u></td><td><u>ORIGINAL</u></td><td><u>REVISION</u></td><td><u>AMENDED</u></td></tr><tr><td>PUC99622(a)</td><td>\$200,000</td><td>(\$97,075)</td><td>\$102,925</td></tr><tr><td>PUC99622(c)</td><td>\$200,000</td><td>(\$97,075)</td><td>\$102,925</td></tr><tr><td>TOTAL</td><td></td><td></td><td></td></tr><tr><td>BFP-95-38</td><td>\$400,000</td><td>(\$194,150)</td><td>\$205,850</td></tr></table>	<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>	PUC99622(a)	\$200,000	(\$97,075)	\$102,925	PUC99622(c)	\$200,000	(\$97,075)	\$102,925	TOTAL				BFP-95-38	\$400,000	(\$194,150)	\$205,850	P116R/95-96 PA-96-03 PA-05- 99622(a) 75-9064A 99622(c) 75-9064 \$205,850	P116 30.20.020.000 \$102,925 \$102,925 \$205,850
<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>																				
PUC99622(a)	\$200,000	(\$97,075)	\$102,925																				
PUC99622(c)	\$200,000	(\$97,075)	\$102,925																				
TOTAL																							
BFP-95-38	\$400,000	(\$194,150)	\$205,850																				
9 Amending Resolution BFP-96-13 \$777,235 Department of Transportation 75-Santa Barbara Senate: 19 Assembly: 35	Goleta Station Amend BFP-96-13 to de-allocate \$22,765 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <table><tr><td><u>ALLOCATION</u></td><td><u>ORIGINAL</u></td><td><u>REVISION</u></td><td><u>AMENDED</u></td></tr><tr><td>BFP-96-13</td><td>\$800,000</td><td>(\$22,765)</td><td>\$777,235</td></tr></table>	<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>	BFP-96-13	\$800,000	(\$22,765)	\$777,235	P116R/96-97 PA-96-19 PA-05- 99623(a)(2) 75-9075B \$777,235	P116 30.20.020.000 \$777,235												
<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>																				
BFP-96-13	\$800,000	(\$22,765)	\$777,235																				
10 Amending Resolution BFP-98-04 \$199,840 Department of Transportation 75-Various Senate: 1,14 Assembly: 9, 15,26	Stockton/Sacramento Track Improvements Amend BFP-98-04 to de-allocate \$908,160 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <table><tr><td><u>ALLOCATION</u></td><td><u>ORIGINAL</u></td><td><u>REVISION</u></td><td><u>AMENDED</u></td></tr><tr><td>BFP-98-04</td><td>\$1,108,000</td><td>(\$908,160)</td><td>\$199,840</td></tr></table>	<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>	BFP-98-04	\$1,108,000	(\$908,160)	\$199,840	P116R/98-99 PA-98-45 PA-05- 99622(a) 75-6628 \$199,840	P116 30.20.020.000 \$199,840												
<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>																				
BFP-98-04	\$1,108,000	(\$908,160)	\$199,840																				
11 Amending Resolution BFP-98-26 \$964,596 Department of Transportation VCTC 07-Ventura Senate: 19 Assembly: 37	Camarillo Intercity Rail Station Improvements Amend BFP-98-26 to de-allocate \$136,997 from this allocation (EA 7616A). Remaining activities for the Camarillo Intercity Rail Station Improvements project will be completed under EA 7616B.. <i>(Related allocation under item 2.6b.(2).)</i> <table><tr><td><u>ALLOCATION</u></td><td><u>ORIGINAL</u></td><td><u>REVISION</u></td><td><u>AMENDED</u></td></tr><tr><td>BFP-98-26</td><td>\$1,101,593</td><td>(\$136,997)</td><td>\$964,596</td></tr></table>	<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>	BFP-98-26	\$1,101,593	(\$136,997)	\$964,596	P116R/98-99 PA-99-09 99623(a)(1) 07-9116E \$964,596	P116 30.10.070.000 \$964,596												
<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>																				
BFP-98-26	\$1,101,593	(\$136,997)	\$964,596																				
12 Amending Resolution BFP-99-13 \$204,588 Department of Transportation 75-Merced Senate: 12 Assembly: 17	Merced Station Amend BFP-99-13 to de-allocate \$412 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <table><tr><td><u>ALLOCATION</u></td><td><u>ORIGINAL</u></td><td><u>REVISION</u></td><td><u>AMENDED</u></td></tr><tr><td>BFP-99-13</td><td>\$205,000</td><td>(\$412)</td><td>\$204,588</td></tr></table>	<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>	BFP-99-13	\$205,000	(\$412)	\$204,588	P116R/99-00 PA-00-06 PA-05- 99622(a) 75-9083 \$204,588	P116 30.20.020.000 \$204,588												
<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>																				
BFP-99-13	\$205,000	(\$412)	\$204,588																				
13 Amending Resolution BFA-91-05 \$18,373,289 Department of Transportation 75-Multiple Senate: 1,6,5,7,9,10,11 Assembly: 4,5,9,8,11,18, 20,22	Placer/Santa Clara Intercity Rail Car Acquisition Amend BFA-91-05 to de-allocate \$26,711 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <table><tr><td><u>ALLOCATION</u></td><td><u>ORIGINAL</u></td><td><u>REVISION</u></td><td><u>AMENDED</u></td></tr><tr><td>BFA-91-05</td><td>\$18,400,000</td><td>(\$26,711)</td><td>\$18,373,289</td></tr></table>	<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>	BFA-91-05	\$18,400,000	(\$26,711)	\$18,373,289	P116R/91-92 PA-91-03 PA-05- 99622(c) 75-9051A \$18,373,289	P116 30.20.020.000 \$18,373,289												
<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>																				
BFA-91-05	\$18,400,000	(\$26,711)	\$18,373,289																				
14 Amending Resolution BFA-95-07 \$5,314,087 Department of Transportation 75-Multiple Senate: N/A Assembly: N/A	Purchase 20 Railcars Amend BFA-95-07 to de-allocate \$85,913 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <table><tr><td><u>ALLOCATION</u></td><td><u>ORIGINAL</u></td><td><u>REVISION</u></td><td><u>AMENDED</u></td></tr><tr><td>BFA-95-07</td><td>\$5,400,000</td><td>(\$85,913)</td><td>\$5,314,087</td></tr></table>	<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>	BFA-95-07	\$5,400,000	(\$85,913)	\$5,314,087	P116R/95-96 PA-95-48 PA-05- 99649 75-3003 \$5,314,087	P116 30.20.020.000 \$5,314,087												
<u>ALLOCATION</u>	<u>ORIGINAL</u>	<u>REVISION</u>	<u>AMENDED</u>																				
BFA-95-07	\$5,400,000	(\$85,913)	\$5,314,087																				

2.6 Mass Transportation Financial Matters

Project # Amended Resolution Revised Allocation Recipient RTPA/CTC District-County Legislative District	Project Title Project Description Funding Summary	Program / Year PA # PUC Code PPNO Prog Amount	Fund Program Codes Alloc Amount
2.6c.(7) Allocation Amendment – State Proposition 116 Rail Program Projects – Various PUCs		Resolution BFA-05-08	
15 Amending Resolution BFA-99-04 \$9,871,544 Department of Transportation SJCOG 75-San Joaquin Senate: 5 Assembly: 17	Stockton BNSF Track\Orwood Drawbridge Amend BFA-99-04 to de-allocate \$3,456 in cost savings from a completed rail project. Original BFP-98-29, amended by BFA-99-04. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> BFA-99-04	<u>ORIGINAL</u> \$9,875,000 <u>REVISION</u> (\$3,456) <u>AMENDED</u> \$9,871,544	P116R/99-00 PA-00-03 PA-05- 99622(a) 75-9080 \$9,871,544
16 Amending Resolution MBFP-96-01 \$1,169,239 Department of Transportation Multiple Senate: 1,5,7,9,10 Assembly: 4,5,8,9,15, 18,20,22	Capital Corridor Station Platforms Amend MBFP-96-01 to de-allocate \$370,761 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> MBFP-96-01	<u>ORIGINAL</u> \$1,540,000 <u>REVISION</u> (\$370,761) <u>AMENDED</u> \$1,169,239	P116R/96-97 PA-96-26 PA-05- 99622(a) 75-9065 \$1,169,239
17 Amending Resolution MBFP-00-01 \$3,308,748 Department of Transportation 11-San Diego Senate: 39 Assembly: 78	False Bay Passing Track Amend MBFP-00-01 to de-allocate \$401,252 in cost savings from a completed rail project. <i>(Concurrent Application Amendment under 2.1e.(3).)</i> <u>ALLOCATION</u> MBFP-00-01	<u>ORIGINAL</u> \$3,710,000 <u>REVISION</u> (\$401,252) <u>AMENDED</u> \$3,308,748	P116R/00-01 PA-94-09 PA-05- 99629(a) 11-8201D \$3,308,748